



PROFESSIONAL INVESTMENT ADVISORY SERVICES

For Internal Use Only

Date Received:

Processed by:

DECLARATION OF BUSINESS INTEREST & SHAREHOLDINGS/OWNERSHIP

Instructions: Please submit a new declaration form for every business interest & shareholdings/ownership and attach the ACRA BizFile of the declared entity. Please ensure that the ACRA BizFile is within the last 3 months from this dated form.

Type of Declaration

Please tick (✓) the type of business interest & shareholdings/ownership declaration.

New Amendments to Previous Declaration

Declarant's Information

Please tick (✓) either choice.

New Applicant Existing PIAS Representative PIAS Rep Code: _____

Name as in NRIC / Passport:
(please underline surname)

NRIC / Passport No.:

Pink
 Blue

Section A: Business Interest

Please tick (✓) either choice and complete the following section.

- If you are holding any directorship/ sole proprietorship/ partnership/ other business interests in any entity in Singapore or elsewhere.
- If you are ceasing your existing business interest.

1. Name of Entity: _____

2. Place of Incorporation/Establishment (Country): _____

3. Nature of Business: _____

4. Role/Capacity:

- Executive Director
- Non-Executive Director
- Sole Proprietor
- Partner
- Others (please specify: _____)

5. Date of Acquiring / Effective Date of Changes to Existing Business Interest: _____ DD / MM / YYYY

Section B: Shareholdings/Ownership

Please complete this section if you have any shareholdings/ownership where 1% or more of the total number of voting shares are held by you in any entity in Singapore or elsewhere.

1. Name of Entity: _____

2. Place of Incorporation/Establishment (Country): _____

3. Nature of Business: _____

4. Date of Acquisition / Changes: _____ DD / MM / YYYY

5. Percentage Shareholding/Ownership you hold in the entity: _____ %

Declaration

I affirm that the information provided above is true and accurate.

(Signature of Declarant/Date)

Section C: Addressing the potential conflict of interest/time arising from your proposed duties

Please complete the following questions if you have existing Business Interest:

1. The nature or purpose of the entity:

2. The type of services or products that the entity provides:

3. Your role / duties in the declared entity:

4. Are you involved in any day to day operations? If yes, please provide the time spent (E.g. how many hours per week / month)

Declaration Clauses

1. I am aware that under Section 23L of the Financial Advisers Act, an individual who has provided a statement that is materially false or misleading to his principal and the statement is subsequently lodged with the Monetary Authority of Singapore, or has omitted to give information to his principal which causes the lodged document to be misleading in a material respect, shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$50,000.
2. I declare that the information given in this form is true and correct

Declaration

(Name of Declarant)	(Signature of Declarant/Date)

For Internal Use Only (Applicable to existing Representative only)		
	YES	NO
Business Interest Declaration Form & ACRA Biz File (within 3 months of the dated Declaration Form) <ul style="list-style-type: none"> ▪ Does the representative's business interest(s) pose any conflict of interest with regards to the Company guideline? 		
<input type="checkbox"/> Updates in Company system		
Updated by AMU Staff : _____		
Verified by AMU Supervisor : _____		