

## RECRUITING MANAGER ASSESSMENT FORM FOR NEW REPRESENTATIVE

Information on New Representative			
<b>Name of New Representative:</b> (as in NRIC/Passport)		<b>NRIC/Passport No:</b>	
<b>Agent Channel:</b>		<b>Agent reporting hierarchy (T1, T2, T3):</b>	
<b>Branch:</b>		<b>Recruiting Manager:</b>	
Proposed Banding Details & Designation			
<b>Representative's Banding (%):</b>		<b>Banding Expiry Date:</b> (DD/MM/YYYY)	
<b>FSM's Banding (%):</b> (Please specify if appointed as a FSM <sup>1</sup> )		<b>Designation:</b>	
Recruiting Manager's Recommendations			
Important Notes			
<ol style="list-style-type: none"> <li>1. I recommend that the above applicant be granted appointment and undertake to supervise his/her activities.</li> <li>2. I am aware that my recommendation of the above applicant is subject to the acceptance and approval of Professional Investment Advisory Services Pte Ltd (PIAS) and Monetary Authority of Singapore (MAS). The Company has the right to reject this application at its sole discretion without assigning any reasons directly to me.</li> <li>3. I am fully aware that before the issuance of the Rep Code from PIAS, the new representative is <u>not allowed</u> to commence any sales activities or conduct any sales process, even if MAS has issued the Representative Number.</li> <li>4. In the event that PIAS is made known of any breach, disciplinary actions shall be taken against me and the new representative will be subjected to termination.</li> <li>5. Should the applicant be terminated within the first year of their service/time with PIAS (regardless voluntarily or in involuntarily), I undertake to pay the MAS lodgement fees and other costs (being the recovery cost incurred by the Company during the on-boarding process) if the Company is unable to recover the amount from the above applicant.</li> </ol>			
Recruiting Manager's Acknowledgement			
(Name of Recruiting Manager)		Date:	(Signature of Recruiting Manager)

<sup>1</sup> FSM: Financial Services Manager